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A

UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.
Sony-00700

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of () application identifier or (X) first named inventor, Maritzen et al., entitled Automated Digital Rights Management and Payment System with Embedded Content, for a(n):

- (X) Original Patent Application.
- () Continuing Application (prior application not abandoned):
 () Continuation () Divisional () Continuation-in-part (CIP)
 of prior application No: _____ Filed on: _____
 () A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

- (X) Specification; 37 Total Pages. (X) Drawing(s); 9 Total Sheets.
- (X) Oath or Declaration:
 (X) A Newly Executed Declaration:
 () Signed. (X) Unsigned. () Partially Signed.
 () A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).
 () Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.
 () Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).
- (X) Power of Attorney (unsigned). (X) Return Receipt Postcard.
 () Associate Power of Attorney.
 (X) Credit Card authorization in the amount of \$ 1478.00 for the Filing Fee.
 () Preliminary Amendment. () Information Disclosure Statement and Form PTO-1449.
 (X) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.
 () A Certified Copy of Priority Documents (if foreign priority is claimed).
 () Statement(s) of Status as a Small Entity.
 () Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.
 () Other: _____

| CLAIMS AS FILED | | | | |
|---|-----------|-----------|------------------|------------|
| FOR | NO. FILED | NO. EXTRA | RATE | FEE |
| Total Claims | 47 | 27 | \$18.0 | \$ 486.00 |
| Independent Claims | 6 | 3 | \$84.00 | \$ 252.00 |
| Multiple Dependent Claims (if applicable) | | | | \$0.00 |
| Assignment Recording Fee | | | | \$0.00 |
| Basic Filing Fee | | | | \$740.00 |
| | | | Total Filing Fee | \$ 1478.00 |

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit overpayment to Deposit Account No: 50-1963. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

By:

Richard Butt, Attorney of Record, Reg. No.40,932

Date: 12/07/01

Correspondence Address:

Valley Oak Law
 5655 Silver Creek Valley Road, #106
 San Jose, CA 95138
 Phone: (408) 223-9763
 Fax: (408) 223-9765

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Commissioner of Patents and Trademarks
 Washington, D.C. 20231

By:

Typed Name: Richard H. Butt

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12/07/01

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1478.00)

Complete if Known

| | |
|----------------------|------------------|
| Application Number | |
| Filing Date | 12/7/2001 |
| First Named Inventor | Maritzten et al. |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | Sony-00700 |

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

| | |
|------------------------|----------------|
| Deposit Account Number | 501963 |
| Deposit Account Name | Valley Oak Law |

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Fee Code | Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description | Fee Paid |
|----------|-----------------------|-----------------------|---|----------|
| 105 | 130 | 205 | 65 Surcharge – late filing fee or oath | |
| 127 | 50 | 227 | 25 Surcharge – late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 Non-English specification | |
| 147 | 2,520 | 147 | 2,520 For filing a request for ex parte reexamination | |
| 112 | 920* | 112 | 920* Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 Extension for reply within first month | |
| 116 | 390 | 216 | 195 Extension for reply within second month | |
| 117 | 890 | 217 | 445 Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 Notice of Appeal | |
| 120 | 310 | 220 | 155 Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 Petition to revive – unavoidable | |
| 141 | 1,240 | 241 | 620 Petition to revive – unintentional | |
| 142 | 1,240 | 242 | 620 Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 Design issue fee | |
| 144 | 600 | 244 | 300 Plant issue fee | |
| 122 | 130 | 122 | 130 Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 | 180 Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 | 355 For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 | 355 Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 Request for expedited examination of a design application | |

SUBTOTAL (1) (\$ 740)

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from Below | Fee Paid |
|--------------|--------------|----------------|----------|
| 47 | -20**= 27 | x 18 | = 486 |
| 6 | - 3**= 3 | x 84 | = 252 |
| | | | = 0 |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|---|
| 103 | 18 | 203 9 Claims in excess of 20 |
| 102 | 84 | 202 40 Independent claims in excess of 3 |
| 104 | 270 | 204 135 Multiple dependent claim, if not paid |
| 109 | 80 | 209 40 **Reissue independent claims over original patent |
| 110 | 18 | 210 9 **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 738)

** or number previously paid, if greater; For Reissues, see above

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

| SUBMITTED BY | | Complete if applicable | | |
|-------------------|-----------------|-----------------------------------|--------|--------------------------|
| Name (Print/Type) | Richard H. Butt | Registration No. (Attorney/Agent) | 40,932 | Telephone (408) 223-9763 |
| Signature | | | | Date 12/07/2001 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.